



**UNIVERSITY OF GUAM
UNIBETSEDAT GUAHAN**

Board of Regents

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**Regular Meeting Minutes
September 20, 2012**

1.0 CALL TO ORDER

The Regular Meeting of the Board of Regents (BOR) was called to order by Chairperson W. Chris Perez on September 20, 2012, at 5:30 p.m., in AV Room #1, University of Guam RFK Library, Tan Siu Lin Building notice of such meeting having been duly and regularly provided by the BOR.

QUORUM:

W. Chris Perez	Chairperson
Kathy Sgro	Vice Chairperson
John Arroyo	Treasurer
William Leon Guerrero	Member
Marcos Fong	Member
Anthony Quenga	Member
Elizabeth Gayle	Member

ALSO PRESENT:

Robert A. Underwood	Executive Secretary
Louise M. Toves	Recording Secretary
Victorina M.Y. Renacia	Legal Counsel

2.0 MEETING MINUTES

2.1 Regular Meeting Minutes of May 16, 2012

Vice Chairperson Sgro moved for approval of the Regular Meeting Minutes of May 16, 2012, subject to corrections, which was duly seconded. The motion carried.

3.0 CHAIRMAN'S REMARKS

Chairperson Perez welcomed everyone back for the new academic year. He noted BOR participation in the events over the summer, to include Spring Commencement, the UOGEF Chef's Cup, Founder's Day, the Founder's Day Gala, and the Presidential Forum. He commended all those who participated in the planning and execution of the events.

Chairman Perez expressed appreciation to the business partners over the summer, to include Froots, Tango Theatres, Mermaid Tavern and Chili's. He thanked Vice Chairperson Sgro for representing the BOR at the Fall convocation. He reported that he attended the employee recognition event and commended all of those who were recognized for their outstanding work.

Chairman Perez stated that an appreciation event was held to recognize the contributions of former regents P. Sonny Ada, Andrew Laguana, Joseph Villagomez, and Jon Junior Calvo. He then recognized the presence of the newest regent appointed to the BOR, Elizabeth "Betty" Gayle.

Chairman Perez then introduced Dr. Daniel Robertson and Dr. Douglas S. Farrer: Dr. Robertson was named Professor Emeritus and Dr. Douglas S. Farrer was granted tenure at the May 2012 BOR meeting. He thanked them both for their service and invited them to say a few words. Both professors expressed their gratitude for the recognition.

Chairman Perez asked Regent Quenga to explain the purpose behind the candy that was distributed at the meeting. Regent Quenga reported that the candies were from the Student Government Association (SGA), who passed out the candy to the students earlier this month in recognition of International Chocolate Day.

4.0 PRESIDENT'S REPORT

President Underwood gave the President's report, a summary of which is attached. He reported that he has scheduled a series of conversations over the next month at which he will introduce some of the ideas that he has about the direction of the University and the need to respond to the changing climate of higher education, which would be necessary regardless of the financial situation of the University.

5.0 REPORTS FROM STANDING COMMITTEES

5.1 Academic, Personnel, and Tenure Committee

Vice Chairperson Sgro provided a brief report on the committee meeting.

5.2 Student Affairs, Scholarship, Alumni Relations and Honorary Degree Committee

5.2.1 Resolution No. 12-16, Relative to Approving the Recommendation of the Student Affairs, Scholarship, Alumni Relations and Honorary Degree Committee during its meeting of August 8, 2012, on the Granting of Resident Tuition Rates for Students Eligible for Education Benefits Under the Post 9/11 Veterans Educational Assistance Act of 2010 (PL 111-377)

Regent Fong introduced Resolution No. 12-16, which was duly seconded. Regent Gayle noted a correction to a typographical error on the resolution heading. The motion carried.

5.3 Budget, Finance, Investments and Audit Committee

Regent Arroyo provided a report on the joint Budget, Finance, Investments and Audit Committee and Investment Committee meeting.

5.3.1 Financial Update

Regent Arroyo provided a brief update.

5.3.2 Collections Report

Regent Arroyo referred to the Collections report on page 21 of the packet for details.

5.3.3 Procurement Transactions and Contracts Report

Regent Arroyo referred to the report on page 22 and 23 of the packet. He reported that there were no purchase orders over the \$100,000 threshold, but there were four contracts over \$100,000 listed on the report.

5.3.4 Resolution No. 12-17, Relative to Approving the FY2012 Insurance Program

Regent Arroyo introduced Resolution No. 12-17, which was duly seconded. The motion carried.

5.3.5 Resolution No. 12-18, Relative to Approving the Contracts Management Program Policy and Amending the Approval of Procurement Awards and Contracts

Regent Arroyo introduced Resolution No. 12-18, which was duly seconded. The motion carried.

5.3.6 Resolution No. 12-19, Relative to Continuation of the FY2012 General Operations, Special Appropriations, Student Financial Aid Program, Yamashita Teacher Corps, and Non-Appropriated Funds Budgets into FY2013

Regent Arroyo introduced Resolution No. 12-19, which was duly seconded. The motion carried.

5.3.7 Resolution No. 12-20, Relative to Approving the FY2013-2015 Plan for Institutional Sustainability Reserves, Insurance Deductible Reserves, Capital Equipment Reserves and Capital Facilities Reserves

Regent Arroyo introduced Resolution No. 12-20, which was duly seconded. The motion carried.

5.3.8 Resolution No. 12-21, Relative to the UOG Endowment Foundation's Application for USDA Financing of the Construction of the Student Services Center and Engineering Annex and the Jesus S. and Eugenia A. Leon Guerrero SBPA Building and the Related Lease-Leaseback and Sale/Leaseback Agreements

Regent Arroyo introduced Resolution No. 12-21, which was duly seconded. The motion carried. President Underwood expressed his gratitude to the UOG Endowment Foundation Board for their willingness to work cooperatively with UOG on this matter.

5.3.9 Resolution No. 12-22, Relative to Approving a Depreciation Policy

Regent Arroyo introduced Resolution No. 12-22, which was duly seconded. The motion carried.

5.4 Physical Facilities Update

5.4.1 Plant and Facilities Update

Regent Leon Guerrero provided the plant and facilities update, as well as brief report on the Physical Facilities Committee meeting.

5.4.2 ARRA Update

Regent Leon Guerrero reported on the various facility improvements that were funded through ARRA. He noted that all but \$7,000 of the ARRA funds were liquidated. He congratulated VPAF O'Brien, CPFO Perez, CPO Okada and other members of the ARRA team for their hard work.

6.0 AD HOC REPORT: ENDOWMENT FOUNDATION UPDATE

UOGEF Executive Director Mendiola gave a report on UOGEF's efforts. He stated that committed gifts of \$2.5M have been secured, with the hope of reaching 10% of the overall goal by the end of the year. He also reported on the efforts of Congresswoman Bordallo, Speaker Won Pat, and Governor Calvo in support of the campaign. He provided an update on the 60 Hour Club efforts, noting that UOGEF is now able to receive donations from federal employees through payroll deduction. He stated that the Donor Recognition Event will be held in November, which will include the unveiling of the donor wall in the UOG Field House. UOGEF Executive Director Mendiola reported that 3 scholarships have been awarded this year. He stated that the UOGEF Board approved the resolution authorizing him to move forward towards obtaining USDA funding as supported in BOR Resolution 12-21. He closed by noting the recent election of new officers as follows: Director David John as Chairperson, Director Joseph Ada (former Governor) as Vice Chairperson, and Director George Chiu as Treasurer.

7.0 NEW BUSINESS

7.1 Resolution No. 12-23, Relative to Expressing Appreciation to P. Sonny Ada for his Service as a Member, Vice Chairman and Chairman of the Board of Regents

Vice Chairperson Sgro introduced Resolution No. 12-23, which was duly seconded. The motion carried.

7.2 Resolution No. 12-24, Relative to Expressing Appreciation to Andrew T. Lagunaña for his Service as a Member of the Board of Regents

Vice Chairperson Sgro introduced Resolution No. 12-24, which was duly seconded. The motion carried.

8.0 OPEN PRESENTATION (3 Minute Limit per Person)

Chairman Perez opened the floor for open presentations.

Dr. Ron McNinch, Associate Professor of Public Administration, stated that he had requested to address the BOR under 9.0 Executive Session as he wishes to speak about a personnel matter, but was told he should speak under 8.0 Open Presentation. Chairman Perez asked that Dr. McNinch address his request to the administration to determine whether it was appropriate to discuss it under 8.0 or under 9.0 of the agenda. Dr. McNinch indicated that he had, but he wanted to clarify what the BOR's preference was. President Underwood stated that he had advised Dr. McNinch that he was welcome to address his concerns under open session since the agenda is set and he is not aware of the nature of his concern other than Dr. McNinch's statement that it is a personnel matter. Dr. McNinch then offered to speak with President Underwood regarding the nature of his concern and obtain further guidance from him. He noted, however, that it is something that he wants the BOR to know that he is concerned about.

There being no further presentations, Chairperson Perez called for a short break, after which the BOR will enter Executive Session.

9.0 EXECUTIVE SESSION

9.1 Tenure Recommendation from the Academic, Personnel and Tenure Committee Dr. Kathleen S. Moots, Assistant Professor of Biology

9.2 Presidential Evaluation Committee Report

9.3 BOR Self-Evaluation Committee Report

10.0 VOTING FILE

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Upon returning to Open Session, Chairperson Perez opened the floor to address the recommendations as discussed in Executive Session.

10.1 Tenure Application of Dr. Kathleen S. Moots

Vice Chairperson Sgro moved that the Tenure application of Dr. Kathleen S. Moots be approved, which was duly seconded. The motion carried. Chairperson Perez asked that Dr. Moots be notified and invited to attend the next BOR meeting to allow the BOR to meet her.

10.2 Presidential Evaluation Committee Report

Vice Chairperson Sgro moved that the recommendation of the Presidential Evaluation Committee in its evaluation report of President Underwood for the period of May 2011 to April 2012 be accepted. The motion was duly seconded and passed. Vice Chairperson Sgro noted for the record the President Underwood declined to accept the 2% annual merit increase for this term, as allowed for by his contract.

11.0 ADJOURNMENT

Chairperson Perez adjourned the meeting at 7:15 p.m.


W. Chris Perez, Chairperson

ATTESTED:



Dr. Robert A. Underwood, Executive Secretary

PRESIDENT'S REPORT

September 20, 2012

1. Lifting of Increment Hold Back

In compliance with the steps identified in BOR Resolution No. 11-12, *Relative to Implementing the University's Revised FY12 Financial Management Plan to Include Salary Increment Freezes*, and in consultation with the Budget, Finance, Audit and Investment (BFIA) committee, the Academic, Personnel and Tenure (AP&T) committee, and the BOR Chairperson, the FY2012 salary increment freeze is rescinded effective August 14, 2012. Upon receipt of the necessary documentation and appropriate recommendation, salary increments are to be processed and paid retroactively to the original due date.

2. SOE Dean

I would like to formally introduce and welcome the new Dean of the School of Education at UOG, Dr. Frankie Santos Laanan. Dr. Laanan is originally from Tumon, Guam and attended St. Anthony Catholic School and John F. Kennedy High School before finishing high school in the US mainland. He received his B.A. in Political Science (1993), M.A. in Higher Education (1994), and Ph.D. in Higher Education and Organizational Change (1998) from the University of California, Los Angeles (UCLA). Prior to returning to Guam, Dr. Laanan was a tenured full professor of Educational Leadership and Policy Studies at Iowa State University (ISU), where he has worked since 2003. Prior to ISU, he previously held faculty positions at the University of North Texas and the University of Illinois at Urbana-Champaign.

3. FY2013 Budget Reapportionment

The FY2013 budget law has been recently enacted as PL31-233. The law essentially rolls over our FY2012 appropriations. There was no appropriation for student tuition support. This is \$3.4M less than the Board-approved FY13 general operations budgets with cuts also to the approved SFAP and special appropriation budgets. There are also several additional reporting requirements. For example, the University will report on the employment of our graduates and provide information and recordings of Board meetings. Banks will be required to allow inquiry access about government funds on deposit. We will discuss the budget situation and our progress in reapportioning the budgets during tonight's meeting.

4. Spring 2012 commencement

Many of you attended the Spring 2012 commencement on May 27th. For those of you who didn't, I'd like to provide a brief report. The class valedictorian was Ronald F. Doculan, who graduated with a BA in Elementary Education. There were 215 undergraduates and 68 graduates who received their diplomas. Jan Vallarta, who graduated with a Bachelor of Business Administration in Accounting was recognized as the University's 14,000th graduate.

The keynote speaker was the Honorable Ray LaHood, US Secretary of Transportation, who was awarded an Honorary Doctorate of Humane Letters. Mr. Jesus "Chamorro" Charfauros was awarded the 2nd Master of Micronesian Traditional Knowledge, a pioneer of the Chamorro cultural renaissance.

UOG also awarded its first Presidential Thesis Award to Christine Simard, a recent Master of Science in Environmental Science graduate, for her thesis entitled, "Analysis of Salinity in the Northern Guam Lens Aquifer." She received a \$1000 stipend in recognition for her excellent work.

5. Fall 2012 Enrollment

3,702 students are enrolled this semester, which is a 0.5% decrease (19 students) compared to 2011 Fall. Graduate enrollment decreased by 57 students (348 in Fall 2011 and 291 in Fall 2012), which is the number of students who graduated in the last cohort of the Special Education masters program. Undergraduate enrollment increased by 1.1% or 38 students (3373 in Fall 2011 and 3411 in Fall 2012).

Of the 3,702 students, 42% are Freshmen, 16% are Sophomores, 14% are Juniors, 19% are Seniors, 1.4% are non-degree/postgrads, and 8% are Graduate students. 59% are female; 73% attend on a full-time basis.

Credit hour production decreased by 0.2% as compared to last Fall, from 43,776 to 43,686 (difference of 90 credit hours overall).

Based on the number of declared majors, the most popular undergraduate programs continue to be Education, Business Admin (including Accounting), Nursing, and Criminal Justice. However, 1077, or 29.1% of our undergraduate students are Undeclared.

6. 60th Anniversary Donors

I'd like to recognize the most recent donors to UOG's capital campaign:

Triton Developer - \$6,000 – A.M. Orient

21st Century Leaders - \$60,000 Moylan's Insurance Underwriters, Inc.;

21st Century Visionary - \$100,000 BankPacific; Matson Navigation Company, Inc.

UOGEF Executive Director Mark Mendiola will provide an update regarding the capital campaign later in the meeting.

7. Anniversary Activities

As part of our 60th anniversary activities since the last BOR meeting, a number of events have been held to include the re-dedication of Presidents' Grove, the re-naming of College Lane to Presidents Lane, the UOG Founders Day ceremony, the Founders Day Gala, the Presidential Forum, the UOG 60th anniversary float, and the UOG Green Energy Career Expo and 60th Anniversary Showcase, and the UOG Employees Recognition ceremony. I'd like to acknowledge the hard work of the President's office employees, the Center for Island Sustainability, the

Presidential Forum committee, the participants and entertainers at various events, and the University of Guam community for their support of these efforts. I believe these events have been very successful in creating additional opportunities to raise awareness and interest about the University with the public.

This month's focus is on the School of Business and Public Administration, which kicked off the month with a 1 mile Professional walk/run and homecoming bonfire. Please check the UOG website for their schedule of events, as they have almost daily workshops available on a variety of topics. Next month's focus will be on SGA and EMSS, with Simply Foods as our business partner.

8. Students

University of Guam Psychology major Diana Carlos, won a \$400 CLASS travel grant to present her poster, "Making Recycling Accessible: A test of bin location at University of Guam," at the Association for Behavior Analysis International's 2012 Behavior Change for a Sustainable World Conference, being held in Columbus, Ohio in early August.

Kenneth Gofigan Kuper, who will graduate from the University of Guam with a psychology degree on May 27, has received an East-West Center Fellowship. The fellowship will cover Kuper's tuition and fees, graduate residence hall room costs, health insurance, book allowance, and partial living stipend as he pursues graduate study at the University of Hawai'i. This highly selective fellowship admits only a limited number of people each year.

Clinical Psychology student Camarin Meno received a \$750 College of Liberal Arts and Social Sciences travel grant to present her research entitled "*Minetgot i Famalau'an (Strength of Women): Development of a Model for Culturally Responsive Psychotherapy with Chamorro Women Victims of Violence*" at the Fourth Qualitative Research on Mental Health Conference to be held at the University of Nottingham, UK., July 3-5, 2012.

Lambda Pi Eta (LPH), the University of Guam's newly chartered Communication Honor Society inducted seventeen Communication majors at the College of Liberal Arts and Social Sciences Lecture Hall on May 17, 2012. LPH is the National Communication Association's official honor society at four-year colleges and universities. The UOG Chapter was chartered in March 2012 and has been designated by the National Communication Association as the Alpha Beta Eta Chapter of LPH. The 2012-2013 LPH Executive Board includes President Nadine Deleon Guerrero, Vice President Ahliil Saitanan, Public Information Officer Roselle Romanes, Treasurer Tiffany Arriola, and Secretary Ariel Buccat. Faculty advisor is Lilnabeth P. Somera, Ph.D.

The Society for Human Resources Management (SHRM) has selected the University of Guam SHRM Student Chapter as an "Outstanding Student Chapter" for 2011-2012. This award places the chapter in the top 10 out of over 475 student chapters across the United States. The UOG SHRM Student Chapter also earned the Superior Merit Award for 2011-12. The UOG SHRM Student Chapter was recognized during an awards ceremony at the 2012 Annual Student

Conference in Atlanta on June 23, 2012. The conference was attended by UOG SHRM Student Chapter President Michael Conner, Treasurer Sheena Jose, Members Phillip Delos Santos and Shiella Jose, and Faculty Advisor Dr. Richard Colfax.

9. Recognition

The Association of Government Accountants (AGA) Guam Chapter has awarded the University of Guam the SILVER Award for the Best Government of Guam Citizen-Centric Report for 2012. This award recognizes outstanding Citizen-Centric Reports prepared by a Government of Guam entity that communicate financial and community information in a visually appealing and understandable document that demonstrates accountability and transparency to its citizens. Congratulations to UOG Comptroller, Zeny Nace and her team for their efforts in Citizen-Centric Reporting!

On May 17th, I presented the Green Dream Home competition winning team from George Washington High School with a trophy and cash prize. GW students Eric Delfin, Bremity LakJohn, Kent Espinosa, Daryle Lee, Jonathan Rios, Jefferson Kachuo and faculty mentor John Zillian took first place with their highly inventive model of a sustainable home. Their \$3000 cash prize was shared between the students, the instructor, and the school. The GWHS students are enrolled in a Guam Community College AutoCAD class.

The US Department of Education recently awarded the University of Guam TRIO Upward Bound Program another five years of grant funding amounting to \$401,953.00 annually for a total of approximately \$2M over the five-year cycle. The funding will allow the University of Guam Upward Bound Program to serve students at five high schools including John F. Kennedy, George Washington, Simon Sanchez, Southern, and Okkodo high schools. TRIO is a 100% federally funded, nation-wide, non-profit program designed to assist students, who are first-generation college bound and/or from low-income households, in achieving both their high school diplomas and college degrees.

Thirteen college students from American Samoa, Commonwealth of the Northern Mariana Islands, Federated States of Micronesia, Guam, Puerto Rico, Republic of the Marshall Islands, Republic of Palau, and U.S. Virgin Islands visited the University of Guam campus to participate in a three and a half week summer internship under the CariPac consortium, which is a program through which all land-grant institutions in the Caribbean and the Pacific collaborate and share information about agricultural innovation, research, and applications. CariPac is facilitated at the University by the College of Natural and Applied Sciences (CNAS).

10. Travel

I will be traveling to Hawaii next week to attend the PPEC meeting and to meet with University of Hawaii- Manoa and Hilo officials. The week after I return, I travel to Washington DC to attend the National Board of Education Sciences meeting.