



**UNIVERSITY OF GUAM  
UNIBETSEDÁT GUÅHAN**

**Board of Regents**

UOG Station, Mangilao, Guam 96923  
Telephone: (671) 735-2995 Fax: (671) 734-2296

**Regular Meeting Minutes  
November 18, 2021**

**1.0 CALL TO ORDER**

The Regular Meeting of the Board of Regents (BOR) was called to order by BOR Chairperson Liza J. Provideo on November 18, 2021 at 5:30 p.m., VIA ZOOM. Notice of such meeting have been duly and regularly provided by the BOR.

**QUORUM:**

**Liza J. Provideo  
Sandra H. McKeever  
Mike W. Naholowaa  
Lesley Leon Guerrero  
Francis X. Hezel  
Agapito "Pete" Diaz  
Roland S. Certeza  
Janice Malilay  
Anisa Topasna**

**Chairperson  
Vice Chairperson  
Treasurer  
Member  
Member  
Member  
Member  
Member  
Member**

**ALSO PRESENT:**

**Thomas W. Krise  
R. Todd Thompson  
Chris Mabayag  
David S. Okada  
Tessica Duenas**

**Executive Secretary  
General Counsel (Acting)  
Recording Secretary  
CPO/Interim Chief of Staff  
Host for Zoom Meeting**

**2.0 MEETING MINUTES**

**2.1 Regular Meeting Minutes of September 16, 2021**

Chairperson Provideo asked if there were any corrections or discussion regarding the regular meeting minutes as presented. She noted the correction on 8.0 in reference to the motion to end Executive Session to move to 8.1 for clarity. Regent Diaz moved to approve subject to the Chairperson's corrections, which was duly seconded by Regent Naholowaa. The motion carried.

**3.0 CHAIRPERSON'S REMARKS**

## **Board of Regents Regular Meeting Minutes of November 18, 2021**

Chairperson Provido welcomed everyone to the November Regular Meeting. She hoped everyone continued to remain safe and healthy and appreciated everything being done to keep the mission of the University moving forward during this pandemic emergency.

She stated that the BOR is looking forward to many more exciting 70<sup>th</sup> anniversary events for 2022 and appreciated the Platinum Jubilee Kick-off and Mural Ribbon Cutting Ceremony as part of the first events.

She added that they are also looking forward to the next commencement in December where around 244 students may be graduating and contributing to our professional workforce in our community and elsewhere.

She then took the time to recognize Treasurer Regent Naholowaa for his efforts in addressing the USDA funding requirements for SENG & SSC, Vice Chair Regent McKeever's participation, as well as the Physical Facilities Committee Chair Regent Diaz for his involvement in the SENG RFP review and noting that many hours were spent well beyond their call of duty, particularly with the university's efforts in support of Bill 197-36.

She thanked Regent Leon Guerrero for chairing the Presidential Evaluation Committee.

She acknowledged that Regent Fr. Francis Hezel whose term will expire around Jan 19, 2022, subject to a new regent being confirmed, and that he may not be attending the next Board meeting in Feb 2022. She added that Fr. Hezel filled the unexpired term of former Regent Hyo Sang Ji.

In closing, Chairperson Provido thanked Regent Fr. Hezel for his untiring leadership and contributions serving in many capacities on the Board Committees, the University, and community. She added a heartfelt thank you for his selfless service and wished him well on the next chapters of his life. She asked everyone to show their appreciation with a round of applause.

Regent Fr. Hezel expressed his gratitude to everyone, members of the present Board and people in the past who welcomed him even though he doesn't have the skillset that most have in doing this type of work. He then thanked President Krise for being a very capable and inspiring leader and for being a good friend. He also thanked David Okada for the help and support he has offered in many ways. He assured everyone that his involvement in the University will not end.

### **4.0 PRESIDENT'S REPORT**

President Krise gave the President's report, a copy of which is attached.

### **5.0 REPORTS FROM STANDING COMMITTEES**

#### **5.1 Student Affairs, Scholarship, Alumni Relations and Honorary Degree (SASARHD) Committee**

##### **5.1.1 Committee Update**

Regent Diaz reported that the SASARHD Committee met on November 9<sup>th</sup> via zoom with a quorum present and presented the proposed resolutions for the Board's approval.

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### **5.1.2 Resolution No. 21-29, Relative to Approving the Fanuchãnan 2021 Commencement Graduate Listing**

Regent Diaz introduced Resolution No. 21-29 and Regent McKeever moved to approve, which was duly seconded by Regent Hezel. He summarized the resolution explaining the purpose and stated that this will be the first cohort of School of Engineering graduates. The motion carried.

### **5.1.3 Resolution No. 21-30, Relative to Approving the Career Priority Listing for the J.U. Torres Professional/Technical Award (PROTECH) Program for Awards Beginning in Fañomnãkan 2022**

Regent Diaz introduced Resolution No. 21-30 and moved to approve, which was duly seconded by Regent Malilay. He summarized the resolution explaining the purpose. The motion carried.

### **5.1.4 Resolution No. 21-31, Relative to Approving the Recipient Awards Table for the Access to Higher Education Financial Assistance Program for Awards Beginning in Fañomnãkan 2022**

Regent Diaz introduced Resolution No. 21-31 and moved to approve, which was duly seconded by Regent Malilay. He summarized the resolution explaining the purpose. The motion carried.

## **5.2 Academic, Personnel and Tenure Committee (AP&T) Committee**

Regent Leon Guerrero reported that the AP&T Committee met on November 9<sup>th</sup> via zoom with a quorum present and presented the proposed resolutions for the Board's approval.

### **5.2.1 Resolution No. 21-32, Relative to Awarding Emeritus Professor of Communication Status to Dr. Lilnabeth P. Somera**

Regent Leon Guerrero introduced Resolution No. 21-32 and moved to approve, which was duly seconded by Regent Topasna. She summarized the resolution and highlighted some of Dr. Somera's accomplishments with the University. The motion carried.

### **5.2.2 Resolution No. 21-33, Relative to Awarding Emeritus Professor of Nursing Status to Dr. Kathryn Wood**

Regent Leon Guerrero introduced Resolution No. 21-33 and moved to approve, which was duly seconded by Regent McKeever. She summarized the resolution and highlighted some of Dr. Wood's accomplishments with the University. The motion carried.

### **5.2.3 Resolution No. 21-34, Relative to Awarding Emeritus Dean of School of Engineering Status to Dr. Shahram Khosrowpanah**

Regent Leon Guerrero introduced Resolution No. 21-34 and moved to approve, which was duly seconded by Regent Diaz. She summarized the resolution and highlighted some of Dr. Khosrowpanah's accomplishments with the University. The motion carried.

### **5.2.4 Resolution No. 21-35, Relative to Approving the Removal of the Hard to Hire Designation from the Faculty Salary Scale**

Regent Leon Guerrero introduced Resolution No. 21-35 and moved to approve, which was duly seconded by Regent Malilay. She summarized the resolution explaining the purpose. The

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motion carried.

### **5.3 Physical Facilities Committee**

#### **5.3.1 Committee Update**

Regent Diaz reported that the Physical Facilities Committee met on November 9<sup>th</sup> via zoom with a quorum present and gave a brief report on the Facilities Management and Services (FMS).

He reported on the School of Engineering and Student Success Center stating that the President and the Executive team have been making great strides to overcome the \$9.1M shortfall, and that they are looking at different avenues, to include the Governor's commitment to fund \$7.1M and that the Legislature will be discussing Bill 197-36 which will set aside about \$10M which will included funding for other capital improvement projects.

He stated that there are plans to move the location of the School of Engineering building and that there are efforts underway to get it sorted with surveys, etc., and that there is a design RFP under review by the General Counsel and team. He added the delivery to USDA for review of the RFP documentation is estimated to be by mid-November.

He thanked Chairperson Provido for her efforts and for working with Glenn Leon Guerrero and Jim Hollyer in the RFP documentation and for her suggestions on developing a guideline for future projects.

He then reported on the Guam Cultural Repository (GCR) that there are MOA discussions with the Department of CHamoru Affairs to operate the facility with hopes that we have some sort of a partnership. He added that the GCR project, except for the shelving units should be completed by February 2022.

He also reported on the WERI and School of Health buildings that there is an RFP for design services which closed earlier this month and are targeting for Procurement with an AE Firm by December 2021.

He further reported on the life cycle and maintenance around the University to include UOG Green, IT Infrastructure, and other ongoing projects and activities.

In closing, he ended his report with the Siemens update stating they developed an investment grade audit to identify projects the University will undertake to improve its facilities efficiencies. The scope of work will include energy efficient smarter buildings. He added that the audit should be ready for the Board's review at the end of this month.

Regent Diaz then presented the proposed resolution for the Board's approval.

#### **5.3.2 Resolution No. 21-36, Relative to Authorizing the University to Borrow Funds for Energy Efficiency Projects**

Regent Diaz introduced Resolution No. 21-36 and moved to approve, which was duly seconded by Regent McKeever. He summarized the resolution explaining the purpose. The motion carried.

### **5.4 Investment Committee**

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### **5.4.1 Committee Update**

Regent McKeever noted that the Investment Committee met on November 10<sup>th</sup> via zoom with a quorum present. No resolutions were presented to the Board at this time.

Regent McKeever reported that the Triton Investment Club Vice President provided a report, noting that the value of the funds is about \$52,000 after they have liquidated \$50,000 to return to the University. Their investments are still very diversified. She stated that Regent Naholowaa suggested that the Investment Committee (IC) and/or the Board recognize the Triton Investment Club by presenting a resolution to recognize their achievements for the contributions they have made by growing their investments and giving back to UOG's needs.

She then reported that Raymond James Associates (RJA) made a report to the Board for the third quarter investment and that the ending balance was \$27,080,292. Two funds were highlighted as having underperformance over multiple quarters. RJA recommended that the IC consider placing Lazard Investments and Garcia Hamilton on a watch list and put them on notice that we are concerned of the pattern of underperformance. The Committee voted to have them placed on a watch. RJA further suggested that the IC may want to schedule sometime this year a due diligence meeting with the money managers and give them an opportunity to explain how they intend to correct their underperformance.

She further reported on the Environment, Social and Governance investing (ESG) stating that RJA mentioned that more and more funds are taking these factors into account as they are choosing investment funds and feels it is something the IC should consider. She added that RJA mentioned that it is a good report card or measure of where we stand as an organization on ESG investing and not necessarily a mandate.

### **5.5 Budget, Finance, and Audit (BFA) Committee**

Regent Naholowaa reported that the BFA Committee met on November 10<sup>th</sup> via zoom with a quorum present and presented the updates and proposed resolutions for the Board's approval.

#### **5.5.1 Financial Update**

Regent Naholowaa reported that the financial statements as of September 30, 2021, reflect a reduction in the appropriation from the Government of Guam (GovGuam) and a reduction in tuition revenue of nearly \$1.5 million.

#### **5.5.2 Collections Report**

Regent Naholowaa reported that the goal of recovering \$476,000 in student receivables was met in September. He commended the staff for all their efforts.

#### **5.5.3 Procurement Transactions and Contracts Report**

Regent Naholowaa referred to the report as provided in the Boardbook.

#### **5.5.4 Resolution No. 21-37, Relative to Authorizing the Signing of Checks and Corporation Resolutions and Opening or Closing Bank Accounts, Investment Accounts or Credit Facilities**

Regent Naholowaa introduced Resolution No. 21-37 and moved to approve, which was duly

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seconded by Regent Hezel. He summarized the resolution explaining the purpose. The motion carried.

**5.5.5 Resolution No. 21-38, Relative to Approving the FY2021-2022 Insurance Program and Delegation of Authority**

Regent Naholowaa introduced Resolution No. 21-38 and moved to approve, which was duly seconded by Regent McKeever. He summarized the resolution explaining the purpose. The motion carried.

**6.0 AD HOC REPORT: ENDOWMENT FOUNDATION UPDATE**

Katrina Perez, Executive Director, provided the BOR with an update on the UOGEF projects and events. A copy of the update is attached.


**7.0 OPEN PRESENTATION (3 Minute Limit per Person)**

Chairperson Provido opened the floor for open presentations.

Dr. Kathryn Wood thanked the BOR, President Krise, SVP/P Enriquez and Dean Hattori-Uchima for supporting her application for Emeritus Professor of Nursing. She added that she has been at UOG for 30 years and that it has been an honor.

**8.0 ADJOURNMENT**

Regent Hezel moved that the zoom meeting be adjourned, which was duly seconded by Regent Naholowaa and passed. Chairperson Provido adjourned the meeting at 7:54 p.m., Chamorro Standard Time.

  
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Liza J. Provido, Chairperson

**ATTESTED:**

  
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Thomas W. Krise, Ph.D., Executive Secretary