



UNIVERSITY OF GUAM
UNIBETSEDAT GUÅHAN
BOARD OF REGENTS

Resolution No. 10-04

RELATIVE TO APPROVING THE FY2011-12 GENERAL OPERATIONS BUDGETS

WHEREAS, the University of Guam is the primary U.S. Land Grant institution serving the post-secondary needs of the people of Guam and the region, and is accredited by the Western Association of Schools and Colleges (WASC); and

WHEREAS, the governance of the University is vested in the Board of Regents which is responsible for approving, adopting and submitting an annual budget to *I Liheslaturan Guahan*; and

WHEREAS, the University received recommendations on areas of resource needs from the University Planning and Budget Advisory Committee (UPBAC) with representation from the Faculty Senate, Deans Council, Administrative Council, Faculty Union, Staff Council, and Student Government Association; and

WHEREAS, the University has demonstrated commitment to a stable, balanced budget in an atmosphere of declining resources related to the problems facing the economies of the island and the region; and

WHEREAS, the University has agreed upon the goals articulated in its strategic plan and focused its revenues and spending upon the highest priorities within its mission; and

WHEREAS, the University has implemented initiatives that enhance, realign and reshape the institution, strengthening its commitment to student learning, academic quality, institutional efficiency and effectiveness, revenue growth, and cost savings; and

WHEREAS, the University has developed consolidated budgets for FY11-12 focused on academic program quality, operational needs, critical vacancies, strategic growth and initiatives, and priorities associated with institutional compliance and safety issues, revenue generation, continuous improvement, and program and business support; and

WHEREAS, the University presents its FY11 budget requests identifying funding required for: a) a base that is sufficiently balanced within funding levels to sustain academic quality and student learning including infrastructure support; b) three growth and investment initiatives in support of public policy priorities which include the establishment of a School of Engineering (to meet the current and growing demand for engineering expertise), the Center for Island Sustainability (to develop strategies for alternative energy, environmental, and economic development), and to Strengthen the Core (an investment to train and develop middle class professionals); c) continuing special appropriations (WERI, Hatchery, Soil and Water Conservation); and d) capital improvement projects (tier 1); and

WHEREAS, based upon an assessment of the priority needs of the University and upon the recommendations of UPBAC and the administration, the President recommends the attached FY11 General Operations Budget, for Board approval and a preliminary FY12 budget for planning purposes; and

WHEREAS, at their February meetings, the Budget, Finance, Investment and Audit Committee met to review the budgets and the Physical Facilities Committee met to review the capital outlay budget and capital improvement projects; and the President and these committees now recommend to the Board the attached FY11 General Operations Budget for approval and a preliminary FY12 budget for planning purposes.

NOW, THEREFORE BE IT RESOLVED, that the University of Guam FY11 General Operations Budget (as attached) is hereby approved to be submitted to *I Mina'Trenta Na Liheslaturan Guahan* pursuant to law.

BE IT FURTHER RESOLVED, that in an effort to provide a basis for continuity of planning as consistent with best practices, the Board further submits a preliminary FY12 budget to *I Mina'Trenta Na Liheslaturan Guahan*.

Adopted this 18th day of February, 2010.

P. Sonny Ada, Chairman

ATTESTED:

Dr. Robert A. Underwood, Executive Secretary

UNIVERSITY OF GUAM

A. General Operations Budget

SUMMARY OF GENERAL OPERATIONS BUDGET

	FY2011 Budget Request	FY2012 Budget Request First Pass
Revenues		
Revenues	44,421,148	45,401,223
Expenses	(44,421,148)	(45,401,224)
Balance	(0)	(0)
Revenues		
Appropriation Request for No Growth base	29,701,375	30,175,690
Appropriation Request for Growth Initiatives	1,847,685	1,847,685
Appropriation Request for Growth Initiatives-Nursing		
Tuition Fund Projected Net Revenue	11,164,088	11,669,849
Federal Matching Funds	1,508,000	1,508,000
PIP Net Revenue (transfer)	200,000	200,000
Total Revenues	44,421,148	45,401,223
Expenses		
Personnel Expenses		
Existing Personnel	(29,774,064)	(33,237,274)
Vacant Positions	(1,809,804)	-
Faculty Pay Adjustments FY10 (impact in FY11)	(227,154)	-
Salary Increments FY10 (impact in FY11)	(458,176)	-
Salary Increments (2011)	(215,000)	(215,000)
Other Personnel Cost	(815,643)	(827,643)
Total Personnel Expenses	(33,299,841)	(34,279,917)
Operating (Non-personnel) Expenses		
Contracts	(3,727,590)	(3,727,590)
Supplies	(436,831)	(436,831)
Equipment	(99,630)	(99,630)
Accreditation	(45,000)	(45,000)
Miscellaneous	(45,591)	(45,591)
Utilities	(3,861,000)	(3,861,000)
Library & IT Priorities - Capital	(357,980)	(357,980)
Academic CIP	(100,000)	(100,000)
Capital Outlay for repair and maintenance	(600,000)	(600,000)
Subtotal Operating (Non-personnel) Expenses	(9,273,622)	(9,273,622)
Subtotal General Operations Expenses before Growth Initiatives	(42,573,463)	(43,553,539)
#1 School of Engineering	(565,297)	(565,297)
#2 Center for Island Sustainability	(399,940)	(399,940)
#3 Strengthening the Core	(882,448)	(882,448)
Total General Operations Expenses	(44,421,148)	(45,401,224)
General Operations Balance	(0)	(0)

FY11 General Operations Detail	Vac FTE	Vacant Positions	Filled FTE	PERSONNEL	CONTRACTS	SUPPLIES	EQUIP	MISC EXP	UTILITIES	CAPITAL OUTLAY	TOTALS	%
EXECUTIVE OFFICES												
President's Office	-	-	6	634,569	294,637	5,964					935,170	2.20%
Alumni Relations Office	-	-	0.5	54,876	-	1,800	1,500				58,176	0.14%
EEO (includes ADA services)	-	-	2	133,097	35,000	1,000	10,000	500			179,597	0.42%
Legal Counsel	-	-	2	186,739	6,000	1,200					193,939	0.46%
Executive Office Expenses	0	-	10.5	1,009,282	335,637	9,964	11,500	500	-	-	1,366,883	3.21%
ACADEMIC AND STUDENT AFFAIRS												
Senior Vice President's Office	2	116,997	5	579,009	94,200	15,000	4,000	45,000			737,209	1.73%
Assessment					100,000						100,000	0.23%
Faculty Senate	-	-	1	26,104							26,104	0.06%
CEDDERS	-	-	1	194,948							194,948	0.46%
Graduate Studies/OSP/TADEO	3	199,232	3	141,744	6,600	1,980	2,000	200			152,524	0.36%
Micro Area Res Center (MLI, Cham Lang & Cult)	-	-	12	1,085,533	21,950	2,381				5,000	1,114,864	2.62%
Marine Lab	-	-	14	1,112,282	9,880						1,122,142	2.64%
Water and Environmental Research Institute	1	-	10	808,919		553					809,472	1.90%
Computer Center	-	-	12	768,886	554,000	15,341	3,000				1,341,227	3.15%
College of Natural and Applied Sciences	5	289,277	84.5	6,926,248	38,823	28,492	9,750	5,250			7,008,563	16.46%
College of Liberal Arts and Social Sciences	1	109,070	68	5,072,284	48,000	20,000	2,702	10,521			5,153,507	12.10%
School of Business and Public Administration	4	115,875	25.5	2,201,621	17,000	10,000					2,228,621	5.23%
School of Education	5	180,522	26.5	1,993,405	54,000	12,800	3,000	6,000			2,069,205	4.86%
School of Nursing	8	205,500	13.5	826,051	75,000	2,800	1,000				904,851	2.13%
UOG Library	-	-	27	1,719,466	199,000	16,320	22,079			282,980	2,239,845	5.26%
Enrollment Management & Student Services-D	-	-	3	211,735	8,000	1,250					220,985	0.52%
Career Placement	-	-	1	72,549	2,000	1,000	1,500				77,049	0.18%
Student Counseling	1	84,040	2	170,685	1,500	1,500	600	250			174,535	0.41%
Student Life Office	1	60,720	0	-	2,000	1,000	500	200			3,700	0.01%
Admissions and Records	1	37,855	12	501,489	15,000	2,875		200			519,564	1.22%
Student Health	-	-	0.75	70,497	4,000	325					74,822	0.18%
Financial Aid Office	1	79,200	6	294,908		1,250		400			296,558	0.70%
Academic and Student Affairs Expenses	33	1,478,288	327.75	24,778,344	1,250,953	134,867	50,131	68,021	-	287,980	26,570,296	62.41%
ADMINISTRATION AND FINANCE												
Vice President's Office	-	-	2	224,790	15,000	2,000	3,000				244,790	0.57%
Comptroller's Office	2	69,238	21.5	1,167,628	167,000	25,000	10,000	10,000			1,379,628	3.24%
Facilities and Utilities	4	90,960	54.75	2,099,634	310,000	2,500	20,000	-			2,432,134	5.71%
Safety and Security	1	24,714	1	53,165	128,000	22,500					203,665	0.48%
Human Resources Office	3	146,604	7	336,353	16,000	10,000	4,999	12,070			379,422	0.89%
Field House	-	-	0.75	34,699							34,699	0.08%
Auxiliary and Postal Services	-	-	2	70,170							70,170	0.16%
Administration and Finance Expenses	10.0	331,517	89	3,986,438	636,000	62,000	37,999	22,070	-	-	4,744,507	11.14%
Staffing Pattern												
			427.25	29,774,064								
Other Personnel Costs												
Vacancies	43.0	1,809,804									1,809,804	4.25%
Salary Increments (FY10)				458,176							458,176	1.08%
Salary Increments (FY11)				215,000							215,000	0.51%
Faculty Pay Adjustments (FY10)				227,154							227,154	0.53%
Recruitment Costs				260,000							260,000	0.61%
CES-PSEP Local Match				10,081							10,081	0.02%
Other Personnel Costs				300,562							300,562	0.71%
Total Other Personnel Costs	43.0	1,809,804		1,470,972	-	-	-	-	-	-	3,280,777	7.71%
UTILITY COSTS, CAMPUS SUPPLIES AND BUILDING SAFETY IMPROVEMENTS												
Campus Custodial and Maintenance Supplies						230,000					230,000	0.54%
Security Guard Services					200,000						200,000	0.47%
Property and Liability Insurance Coverage					1,000,000						1,000,000	2.35%
Academic and Research Internet Access Utility Fund										70,000	70,000	0.16%
Academic Capital										100,000	100,000	0.23%
Capital Outlay and ADA Safety Improvements										600,000	600,000	1.41%
Power									3,100,000		3,100,000	7.28%
Water / Wastewater									96,000		96,000	0.23%
Telephone									515,000		515,000	1.21%
Hazardous/Metallic Waste/Trash Removal									150,000		150,000	0.35%
Total	-	-	0	-	1,200,000	230,000	-	-	3,861,000	770,000	6,061,000	14.24%
UTAC Priorities				245,000	305,000						550,000	1.29%
Subtotal before Initiatives	43.0	1,809,804	427	31,490,036	3,727,590	436,831	99,630	90,591	3,861,000	1,057,980	42,573,463	100%
President's Initiatives												
Growth Initiative #1: School of Engineering				565,297							565,297	
Growth Initiative #2: CIS				398,640				1,300			399,940	
Growth Initiative #3: Strengthening the Core				882,448							882,448	
Total with Initiatives	43.0	1,809,804	427.25	33,336,421	3,727,590	436,831	99,630	91,891	3,861,000	1,057,980	44,421,148	

	Year 1	Year 2	Year 3	Year 4	Year 5
Growth Initiative #1: School of Engineering					
To provide local engineering degree programs to help meet the current and growing demands for engineering expertise in Guam's public and private sectors.					
1 Assoc Prof - Physics	113,059	116,451	119,945	123,543	127,249
1 Assoc Prof - Structural Engineer	113,059	116,451	119,945	123,543	127,249
1 Assoc Prof - Thermo Dynamics	113,059	116,451	119,945	123,543	127,249
1 Assoc Prof - Engineer Geologist	113,059	116,451	119,945	123,543	127,249
1 Assoc Prof - Hydrologist	113,059	116,451	119,945	123,543	127,249
1 Assoc Prof - Soil Mechanic		113,059	116,451	119,945	123,543
1 Assoc Prof - Transportation		113,059	116,451	119,945	123,543
1 Assoc Prof - Environmental Engineer		113,059	116,451	119,945	123,543
1 Assoc Prof - Hydraulics		113,059	116,451	119,945	123,543
1 Assoc Prof - Water Resource			113,059	116,451	119,944
Total - Growth Initiative #1	565,297	1,034,493	1,178,587	1,213,944	1,250,362

Growth Initiative #2: The Center for Island Sustainability (CIS)					
The CIS will network and provide leadership as the "honest broker" in identifying problems, developing coordinated research agendas, and proposing comprehensive strategies for alternative energy, environmental, and economic development sustainability.					
1 Director-University Research Park	158,400	163,152	168,047	173,088	178,281
1 I/R-Renewable and Sustainability Energy Management	118,800	122,364	126,035	129,816	133,710
1 Sustainability Coordinator	68,640	70,699	72,820	75,005	77,255
1 Research Associate - Energy Audit	52,800	54,384	56,016	57,696	59,427
Equipment-PC/Printer/Fax	1,300	2,000	2,000	2,000	
4 Total - Growth Initiative #2	399,940	412,599	424,917	437,605	448,673

Growth Initiative #3: Strengthening the Core					
Investment to train and develop our middle class professionals to sustain our island workforce and provide support to those programs.					
1 WERI - Staff Hydrologist & System Specialist	55,176				
1 SNHS - Instructor (H.S)	49,841				
1 SNHS - Instructor (Nursing)	49,841				
1 SNHS - Administrative Assistant	30,283				
1 SNHS - Program Coordinator II	35,006				
1 SOE - Assist/Assoc Professor (Reading)	64,647				
1 SOE - Assist/Assoc Professor (Sp. Education)	64,647				
1 SBPA - Assist/Assoc Professor, Development Economics	64,647				
1 SBPA - Program Coordinator IV	37,855				
2 CLASS - Assistant to Associate Professor - English	129,294				
1 CLASS - Assistant to Associate Professor - Graphic Art	64,647				
1 CLASS - Assist to Assoc Professor - Communications	64,647				
1 CLASS - Teaching Assistants	25,165				
2 CNAS-CES - Extension Associates	79,200				
1 HRO - Personnel Specialist I	32,546				
1 Sponsored Prog - Grants Accountant II	35,006				
18	882,448				

UTAC IT Priorities FY11 Budget

FY10 PRIORITY	FY11 PRIORITY	INITIATIVE	Funding	FY11 recommend		FY12 recommend		FY12 recommend		ASSUMPTIONS
				Genl Ops	NAF Budgets	Genl Ops	NAF Budgets	Genl Ops	NAF Budgets	
4	4	Web Services & Presence								
1	1	UOG Web IT Interface	Gen Ops Gen Ops					60 \$ 60	60 \$ 60	operational expense increased broadband
3	6	ITRC Infrastructure Investment Main UPS Fire Suppression Certification Dedicated Backup Generator Enclosure CC HVAC	.5 CC nat. .5 GenOps unfunded GenOps Capital Outlay GenOps Capital Outlay GenOps Capital Outlay					120	120	criticalbackup during power outages (estimate) certification FY07/08, replacement FY09 new generator enclosure and connections (estimate) FY09 offices and lab, FY10 operations center
		Software Licenses (anti-virus) Power Conditioners	.5 CC nat. .5 GenOps .5 CC nat. .5 GenOps					7 7	7 7	7 increased frequency of attacks & problems upgrade existing system
2	2	Decentralized IT Support in Academic Units SBPA, SONHS, SOE, CLASS (thru ITRC), CNAS, EMSS, Sponsored Programs						245 245	257 257	Recommended priority is dedicated IT support person followed by priorities submitted by units, Genl Ops match NAF up to \$35k
5	5	Colleague for Academics & Business Processes Release 18 Web-based applications IT Capacity Development	Gen Ops Gen Ops					100 100	100 100	student, advisory and business processes Colleague IT Project
4	3	Distance Education Distance Education Resource Support						178 178	178 178	DE support team under TADEO, start up subsidy Manpower support from existing faculty and staff/ some dedicated
Grand Total				\$	550 \$	352 \$	562 \$	364		
GenOps UTAC Initiatives Funding					550		562			Priorities 1-5
GenOps Capital Outlay Funding										
President's Developmental Fund-NAF						100		100		Priority 5: addl funding for Colleague IT Project
Academic Unit NAFs						245		257		Priority 3: Decentralized IT \$35k match per unit (\$0/50 with Genl Ops)
Computer Center- NAF										Priority 6: anti-virus software
SVP - NAF (Priority 2)						7		7		

General Operations Capital Outlay for FY2011

Item	Cost	Total
Fieldhouse repair, renovations, and emergencies		300,000
CMMS		20,000
Dean Circle houses roof repairs		60,000
Carpentry shop power tools		20,000
A/C repairs campus-wide		150,000
Infrastructure repairs - plumbing gate valves road side		50,000
	Total FY2011	\$ 600,000

CAPITAL CAMPAIGN PRIORITIES
Capital Improvement Projects (CIPs)
Evaluation Summary Sheet

NO.	DEPARTMENT	PROJECT	ESTIMATED COST
1	EMSS	Student Center Complex Renovation	\$11,000,000.00
2	ITRC and MARC (combined)	Computer Center and MARC - Extension	\$1,580,000.00
	CNAS???	School of Engineering (mid-term)	\$4,000,000.00
3	CNAS	Science Bldg Renovation/Upgrade	\$5,705,000.00
4	SONHS	Health-Science Building Expansion	\$8,550,000.00
5	CLASS	Fine Arts Complex - Renovations (less ISLA)	\$4,804,000.00
6	CEDDERS/ TADEO	Interdisciplinary Center of Excellence and Community Outreach -New Construction	\$14,480,000.00
TIER 1 TOTAL			\$50,119,000.00
7	Marine Lab	Marine Lab - Facilities Expansion	\$1,575,100.00
8	Field House	Sports Complex - A. Football/Soccer Field and Track	\$1,795,922.00 @ \$1M, pending funds availability
9	Administration Building	Admin Bldg - New Construction	\$4,060,000.00
10	EMSS	Dorm III (Gumata) Student Housing - New Construction	\$13,008,250.00
11	Maintenance Shop	Shop expansion	\$500,000.00
TIER 2 TOTAL			\$20,939,272.00
12	CNAS	GADTC Hatchery Building	\$850,000.00
13	Marine Lab	Marine Lab - Facilities Construction	\$4,000,000.00
14	Field House	Sports Complex - D. Other Courts (Tennis, Basketball, Volleyball)	\$531,576.00
15	MARC	MARC Extension	\$1,000,000.00
16	CLASS	ISLA	\$396,000.00
17	Field House	Sports Complex - C. Practice Soccer/Softball Field	\$1,058,874.00
18	Field House	Sports Complex - B. Baseball Field	\$1,498,560.00
19	WERI	New WERI Bldg	\$1,596,000.00
20	Field House	Expansion by wing enclosures	\$5,850,884.00
21	MARC	MARC 10,000 sq ft Extension	\$2,496,000.00
22	Library	Additional 3d Floor	\$1,152,000.00
23	Marine Lab	Renovate returned space from WERI	\$2,792,000.00
24	Marine Lab / WERI	Staff Housing Visitor's center	\$482,000.00
TIER 3 TOTAL			\$23,703,894.00
TIER 1, 2, 3, GRAND TOTAL			\$94,762,166.00

NOTE: Project Names in Black = "new" items submitted.
Project Names in Blue = From Plant and Facilities Unfunded Projects List (as of June 19, 2008).
TIER 1 = Green; TIER 2 = Yellow; TIER 3 = Peach
Estimated cost can fluctuate, plus or minus 25% - 30%.
New project inserted.